

NFAC #1807/81

31 March 1981

MEMORANDUM FOR: See Distribution

FROM : Coordinator for Academic Relations, NFAC

SUBJECT : Request for IC/FAR Research Project Information  
for 2nd Quarter FY 1981

1. The quarterly report to the State Department, in compliance with Section VI.B. of the Policies and Procedures for Department of State Review and Coordination of Foreign Affairs Research, is due by April 15, 1981.

2. Please send me by 10 April completed research project forms for any external research contracts initiated by your office or directorate in the 2nd quarter of Fiscal Year 1981 or initiated in the previous fiscal year but not yet reported. Negative reports may be made by calling me on extension

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3. I am again attaching the present "Working Definition" of Foreign Affairs External Research which describes and illustrates what research should and what research should not be included in your report. As before, unclassified research project information should be submitted on the Smithsonian Science Information Exchange form while classified research project information must be submitted on the Foreign Affairs Research Summary form (both forms attached and may be reproduced if necessary).

4. I should like to take this opportunity to express my sincere appreciation for your past cooperation in providing me with the information necessary to compile accurate and complete quarterly reports.



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Attachments:  
As stated

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2 - NIC/CAR

1 - NFAC Registry

NFAC/NIC/CAR, js (31 March 1981)

Revised June 1980

## INTERAGENCY COMMITTEE ON FOREIGN AFFAIRS RESEARCH (IC/FAR)

## FOREIGN AFFAIRS EXTERNAL RESEARCH

## Working Definition

The IC/FAR is interested in U.S. Government foreign affairs external research activities. What follows defines key terms and suggests what is to be included and what excluded by participating agencies.

External research activities to be reported\* in accordance with section VI. B. of the *Policies and Procedures for Department of State Review and Coordination of Government-Sponsored Research on Foreign Affairs* is defined below in terms of (1) subject matter, (2) research approaches and methods, (3) type of activity, and (4) cost.

## 1. Subject Matter

From a U.S. perspective, foreign affairs includes a wide range of political, social, cultural, psychological, military, economic, scientific, technological, and other subject areas. Furthermore, U.S. domestic affairs are increasingly linked with matters foreign or international. A foreign affairs research activity, therefore, is one that focuses, in part or entirely, on recent, contemporary, or future:

- Attributes, ideas, or behavior of non-U.S. individuals, groups, societies, or nations, to include studies of discrete areas or peoples, multinational and crossnational studies, and also historical studies with application to the contemporary scene; or on
- Relations between and among nations, including the United States; or relations between and among individuals or groups located in different nations, including the United States; or on
- Ways in which U.S. individuals, groups, ideas, attributes, or affairs are influenced by or influence: affairs in other nations, relations between or among nations, the position or behavior of the United States in international affairs.

## Exclude:

- a. All natural (e.g., astronomical, biological, etc.) or technological (e.g., nuclear fusion) studies in which a cultural, economic, political, management or other social dimension, cause, or setting is not also under investigation.
- b. All studies of U.S. affairs in which a foreign or international dimension, cause, setting, or ramification is not also under investigation.

## 2. Research Approaches and Methods

Foreign affairs research uses the approaches and methods of one or more of the basic or applied social, behavioral, or policy sciences, and/or the humanities.

## e.g., Include

## e.g., Exclude

- a. All types of research (i.e., pure, exploratory, basic, applied, developmental, evaluative, policy, etc.) when foreign affairs is the subject matter.
- a. Evaluation studies of an agency program that are intended only for use by the sponsoring agency.

- b. Methodological studies which use foreign affairs data, concepts, or theory to test or develop methods or techniques.

- c. Studies of the U.S. policymaking process when the policy area includes a foreign or international dimension.

## 3. Type of Activity

External research encompasses all research programs, projects, or other activities sponsored by the U.S. Government but performed by non-Government employees (in the United States or overseas).

## e.g., Include

## e.g., Exclude

- a. Direct contracts and grants.
- b. Interagency transfers and intergovernmental loans used to make contract or grant awards to nongovernmental research performers.
- c. Arrangements for the external preparation of data, analyses, studies, reports, conferences, symposia, or data and documentation collections, services, and systems that support researchers.
- a. In-house research performed solely by U.S. Government employees (including temporary or intermittent—but not contract—experts or consultants) whether in the sponsoring or recipient agency.
- b. Programs in which the research is incidental to accomplishment of an educational, training, or cultural exchange or similar objective, such as grants awarded under the Fulbright-Hays program and the National Defense Education Act.
- c. Activities of agency personnel involved in managing or administering external research activities.
- d. Research supported solely by private institutions; state, local, or foreign government; or units of the U.S. Government's legislative and judicial branches.

## 4. Cost

The total amount obligated for a project by a sponsoring agency (or agencies if jointly funded).

## e.g., Include

## e.g., Exclude

- a. All foreign affairs research activities costing \$1,000 or more.
- a. Activities costing less than \$1,000 unless the reporting agency believes it important to share information with other agencies.

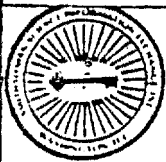
\*In cases of collaborative programs and projects involving two or more agencies, the agency which actually signs contract or grant agreements should assume the reporting responsibility.

INCLUDE

1. Oil Production in Saudi Arabia (an economic activity)
2. Mine Safety Programs in Yugoslavia (a social activity)
3. Social Rehabilitation Programs for Drug Addicts in India (a social activity)
4. Cattle Grazing Techniques in Tribal Africa (an economic activity)
5. The Organization of High Energy Physics Research in Israel (a science affairs activity)
6. Causes of Auto Accidents in Warsaw (a behavioral-technological affair)
7. Soviet Programs for Exploring the Oceans (a technological-social activity)
8. Sources of Pollutants in the Great Lakes (an economic-physical-technological relationship)
9. Attitudinal Impact of VOA Programming on East European Listeners (a psycho-social relationship through technical media)
10. International Fishing Regimes in the North Pacific (a legal-natural relationship)
11. Health Delivery Systems in Latin America (a social service activity)

EXCLUDE

1. Oil Geology of Saudi Arabia (a strictly natural phenomenon)
2. Physical Properties of Yugoslav coal Veins (a strictly natural phenomenon)
3. Blood Studies of Heroin Addicts in India (a human physiological but non-behavioral phenomenon)
4. Development of Improved Strains of Range Grass for Semi-Arid Regions (a strictly biological phenomenon)
5. Nuclear Particle Experiments at the Weizmann Institute (a strictly physical science phenomenon)
6. The Nature of Vehicle Failures in Fatal Auto Accidents in Poland (a strictly technological phenomenon)
7. Soviet Techniques for Deep Water Drilling (a strictly technological activity)
8. Currents in the Great Lakes (a strictly natural though transnational relationship)
9. Electronic Counter-Jamming Techniques Applicable to Short-Wave Broadcasting (a strictly technological phenomenon)
10. Fish Ecology of the Bering Strait (a strictly natural phenomenon)
11. Medical, therapeutic, clinical studies in which a social, cultural economic, or political dimension or setting is not also under investigation (medically oriented activities)



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SSIE NUMBER

## NOTICE OF RESEARCH PROJECT

SUPPORTING ORGANIZATION/OFFICE: \*

SUPPORTING ORGANIZATION NUMBER(S):

Contract No:  
and/or  
Control No:

PROJECT TITLE:

INVESTIGATOR(S):

DEPARTMENT/SPECIALTY, School or Division

PERFORMING ORGANIZATION:

PERIOD FOR THIS NRP:

Name and  
Address:  
Including  
Zip Code.

Start Date:  
End Date:  
Annual Funding: FY \_\_\_\_\_ \$ \_\_\_\_\_  
Total Cost:

PROJECT SUMMARY:

Be brief-200 word maximum: (Include Objective, Approach,  
Current Plans and/or Progress,  
Countries/Regions involved)

ACTION OFFICER:

TELEPHONE: Area Code: No.

Security Classification\*

Date \_\_\_\_\_

## FOREIGN AFFAIRS RESEARCH SUMMARY

INFORMATION ON GOVERNMENT-SUPPORTED RESEARCH RELATED TO FOREIGN AFFAIRS, COMPILED FOR THE C/FAR BY THE OFFICE OF EXTERNAL RESEARCH DEPARTMENT OF STATE,

1. PROJECT'S SECURITY CLASSIFICATION

2. PROJECT TITLE

3. DATE INITIATED

4. COMPLETION DATE

5. FUNDING AGENCY/SUBORDINATE UNIT

6. CONTRACT/GRANT NO.

7. OTHER GOVT. SUPPORT

8. AGENCY ACTION OFFICER/PROJECT MONITOR

9. TOTAL COST

10. OBLIGATIONS (Amount committed for current and next fiscal year.)

11. NAME AND COMPLETE MAILING ADDRESS OF (check one)

12. PRINCIPAL INVESTIGATOR

CONTRACT OR GRANT AWARDED TO: ☐ INDIVIDUAL ☐ INSTITUTION (check one)

13. SCOPE (Statement of research problem, including approach, subject matter, fields or disciplines to which project is importantly related and purpose funding agency expects project to serve. Do not repeat title of project. Specific countries and/or regions included in research should be noted.)

\*If form is classified, it must be signed by authorized person. Indicate here date of declassification or exemption from declassification in accordance with E.O. 12065